

A scenic landscape photograph of a calm lake surrounded by a dense forest of green trees. The sky is overcast with grey clouds. The water reflects the sky and the surrounding forest. In the foreground, there is a sandy beach. A small wooden post is visible on the right side of the beach.

# Inflation and reheating in the early Universe

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**Russia**

# Experimental data in Particle Physics

- We know the initial states of particles before interaction, use photons, electrons, positrons, protons, neutrons, ions, neutrinos...
- Then they collide and we measure the particles in the final state
- Thus we learn about interaction
- Each experiment may be repeated:
  - with the same facility
  - building a copy in the same or other place
  - constructing similar device

...

And results must be the same

... on average within QM

need many collisions

theory predicts distributions

# Experimental data in Cosmology and Astrophysics

- Each experiment may be unique (unrepeatable):
  - observe only one Universe
  - (so far) registered only one SN explosion
  - might observe only one magnetic monopole (?)
  - can study only one star
  - (so far) can directly investigate only one planet
  - ...
- we register photons, neutrinos, gravitational waves, electrons, positrons, protons, nuclei,  
but only photons, neutrinos and gravitational waves can point at the source
- Can not directly check the model of sources
- Can not directly check the media in between

# Outline

- 1 General facts, key observables and  $\Lambda$ CDM model
- 2 Hot Big Bang theory in brief
- 3 Inflation
- 4 Inhomogeneities in the Universe
- 5 Reheating

# “Natural” units in particle physics

$$\hbar = c = k_B = 1$$

measured in GeV: energy  $E$ , mass  $M$ , temperature  $T$

$$m_p = 0.938 \text{ GeV}, \quad 1 \text{ K} = 8.6 \times 10^{-14} \text{ GeV}$$

measured in  $\text{GeV}^{-1}$ : time  $t$ , length  $L$

$$1 \text{ s} = 1.5 \times 10^{24} \text{ GeV}^{-1}, \quad 1 \text{ cm} = 5.1 \times 10^{13} \text{ GeV}^{-1}$$

Gravity (General Relativity):  $V(r) = -G \frac{m_1 m_2}{r}$   $[G] = M^{-2}$

$$M_{\text{Pl}} = 1.2 \times 10^{19} \text{ GeV} = 22 \mu\text{g}$$

$$G \equiv \frac{1}{M_{\text{Pl}}^2}$$

# “Natural” units in cosmology

$$1 \text{ Mpc} = 3.1 \times 10^{24} \text{ cm}$$

$$1 \text{ AU} = 1.5 \times 10^{13} \text{ cm}$$

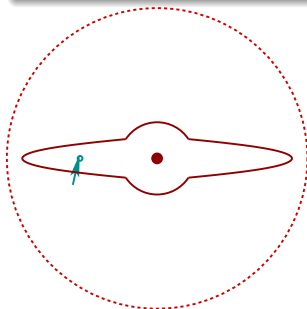
$$1 \text{ ly} = 0.95 \times 10^{18} \text{ cm}$$

$$1 \text{ pc} = 3.3 \text{ ly} = 3.1 \times 10^{18} \text{ cm}$$

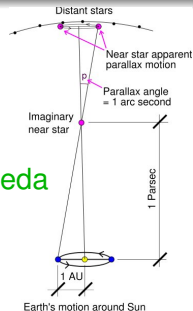
mean Earth-to-Sun distance  
distance light travels in one year

$$1 \text{ yr} = 3.16 \times 10^7 \text{ s}$$

distance to object which has  
a parallax angle of one arcsec



100 AU — Solar system size  
1.3 pc — nearest-to-Sun stars  
1 kpc — size of dwarf galaxies  
50 kpc — distance to dwarves  
0.8 Mpc — distance to Andromeda  
1-3 Mpc — size of clusters  
15 Mpc — distance to Virgo

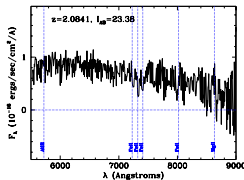
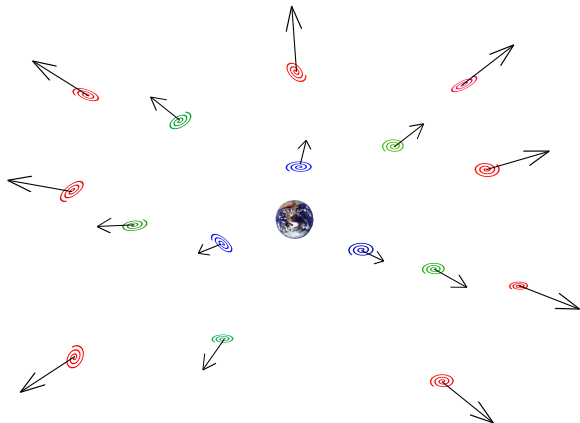


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# Universe is expanding

$$\lambda_{\text{abs.}} / \lambda_{\text{em.}} \equiv 1 + z$$

Doppler redshift  $z$  of light



$$L \propto a(t) \longrightarrow n \propto a^{-3}$$

$$\text{Hubble parameter } H(t) = \frac{\dot{a}(t)}{a(t)}$$



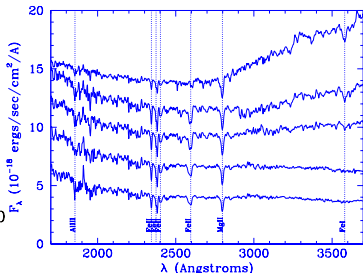
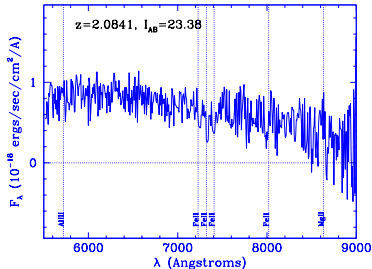
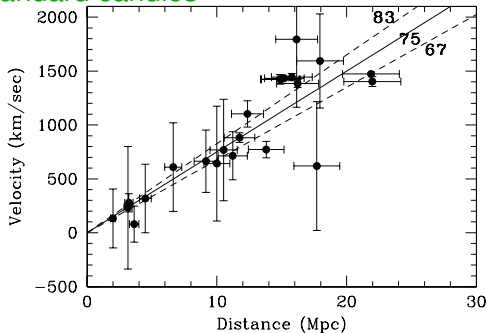
Expansion: redshift  $z$ 

$$\lambda_{\text{abs.}}/\lambda_{\text{em.}} \equiv 1 + z$$

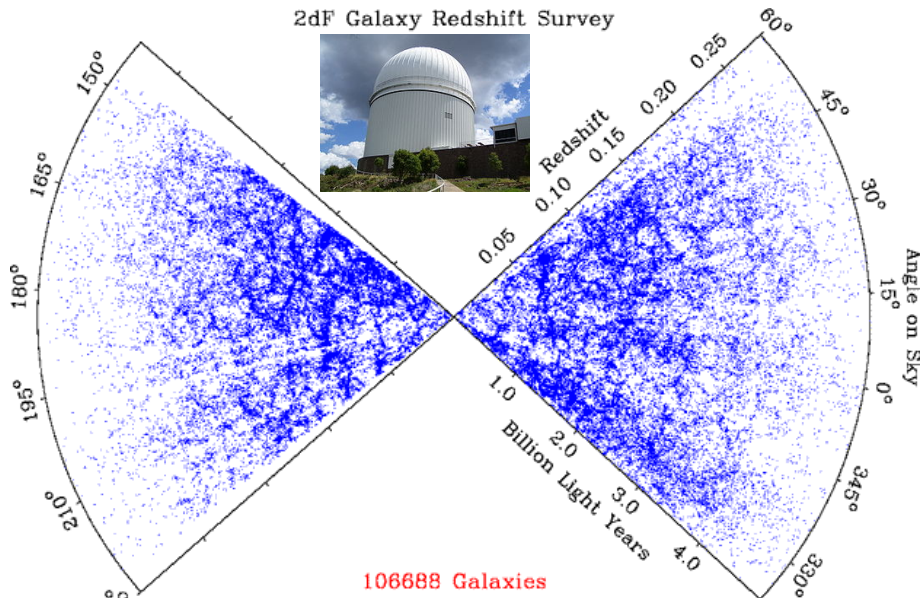
$z \ll 1$  Hubble law :  $z = H_0 r$

$$H_0 \simeq 70 \frac{\text{km}}{\text{s} \cdot \text{Mpc}} \simeq \frac{2 \times 10^{-4}}{\text{Mpc}}$$

Hubble Diagram for Cepheids (flow-corrected)  
standard candles



# Universe is homogeneous and isotropic



# The Universe: age & geometry & energy density

$$[H_0] = L^{-1} = t^{-1}$$

time scale:  $t_{H_0} = H_0^{-1} \approx 14 \times 10^9$  yr age of our Universe

spatial scale:  $l_{H_0} = H_0^{-1} \approx 4.3 \times 10^3$  Mpc  $\approx 10^{28}$  cm size of the visible Universe

$t_{H_0}$  is in agreement with various observations

homogeneity and isotropy in 3d: if exact

flat, spherical or hyperbolic  $R^3$ ,  $S^3$  or  $H^3$

Observations: “very” flat  $R_{curv} > 30 \times l_{H_0}$

order-of-magnitude estimate:  $1/l_U \sim GM_U/l_U^2 \sim G\rho_0 4\pi/3 l_{H_0}^3 / l_{H_0}^2$

flat Universe

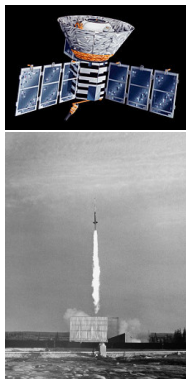
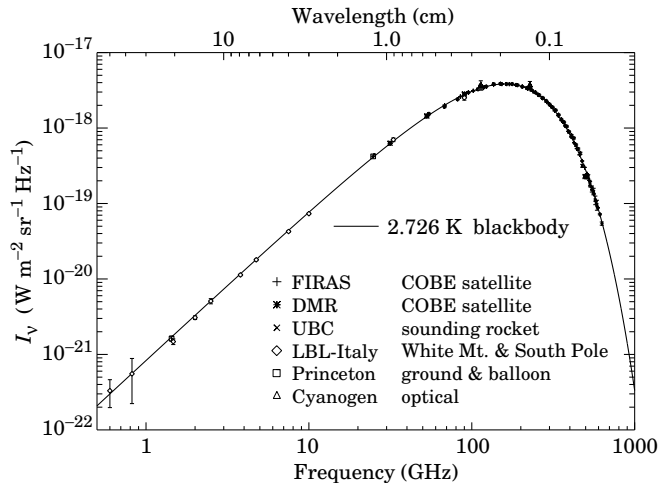
$$\rho_c = \frac{3}{8\pi} H_0^2 M_{\text{Pl}}^2 \approx 0.53 \times 10^{-5} \frac{\text{GeV}}{\text{cm}^3} \quad \longrightarrow \quad 5 \text{ protons in each } 1 \text{ m}^3$$

# Universe is occupied by “thermal” photons

the spectrum (shape and normalization!) is thermal

$$T_0 = 2.726 \text{ K}$$

$$n_\gamma = 411 \text{ cm}^{-3}$$



# Conclusions from observations

The Universe is  
homogeneous, isotropic, hot and expanding...

- interval between events gets modified

$$\Delta s^2 = c^2 \Delta t^2 - a^2(t) \Delta \mathbf{x}^2$$

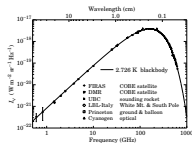
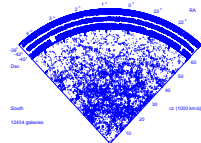
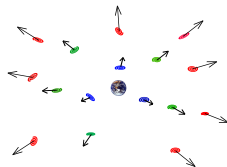
- in GR expansion is described by the Friedmann equation

$$\left(\frac{\dot{a}}{a}\right)^2 = H^2(t) = \frac{8\pi}{3} G \rho_{\text{density}}^{\text{energy}}$$

$$\rho_{\text{density}}^{\text{energy}} = \rho_{\text{matter}} + \rho_{\text{radiation}} + \dots$$

$$\rho_{\text{matter}} \propto 1/a^3(t), \quad \rho_{\text{radiation}} \propto 1/a^4(t), \quad \rho_{\text{curvature}} \propto 1/a^2(t)$$

- in the past  
the matter density was higher,  
our Universe was “hotter”,  
and was filled with electromagnetic plasma



# $a(t)$ reveals the composition of the present Universe

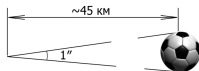
$$\Delta s^2 = c^2 \Delta t^2 - a^2(t) \Delta \vec{x}^2 \rightarrow ds^2 = g_{\mu\nu} dx^\mu dx^\nu$$

How do we check it?

Light propagation changes...  
by measuring distance  $L$  to an object!

- Measuring angular size  $\theta$  of an object of known size  $d$

$$\theta = \frac{d}{L}$$



single-type galaxies

- Measuring angular size  $\theta(t)$  corresponding to physical size  $d(t)$  with known evolution
  - BAO in galaxy distribution
  - lensing of CMB anisotropy

$$\theta(t) = \frac{d(t)}{L}$$



- Measuring brightness  $J$  of an object of known luminosity  $F$

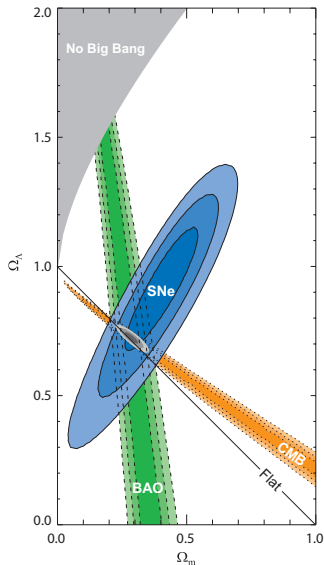
$$J = \frac{F}{4\pi L^2}$$

“standard candles”



In the expanding Universe all these laws get modified

## Astrophysical and cosmological data are in agreement



$$\left(\frac{\dot{a}}{a}\right)^2 = H^2(t) = \frac{8\pi}{3} G \rho_{\text{density}}^{\text{energy}}$$

$$\rho_{\text{density}}^{\text{energy}} = \rho_{\text{radiation}} + \rho_{\text{matter}}^{\text{ordinary}} + \rho_{\text{matter}}^{\text{dark}} + \rho_\Lambda$$

$$\rho_{\text{radiation}} \propto 1/a^4(t) \propto T^4(t), \quad \rho_{\text{matter}} \propto 1/a^3(t)$$

$$\rho_\Lambda = \text{const}$$

$$\frac{3H_0^2}{8\pi G} = \rho_{\text{density}}^{\text{energy}}(t_0) \equiv \rho_c \approx 0.53 \times 10^{-5} \frac{\text{GeV}}{\text{cm}^3}$$

radiation:

$$\Omega_\gamma \equiv \frac{\rho_\gamma}{\rho_c} = 0.5 \times 10^{-4}$$

Baryons (H, He):

$$\Omega_B \equiv \frac{\rho_B}{\rho_c} = 0.05$$

Neutrino:

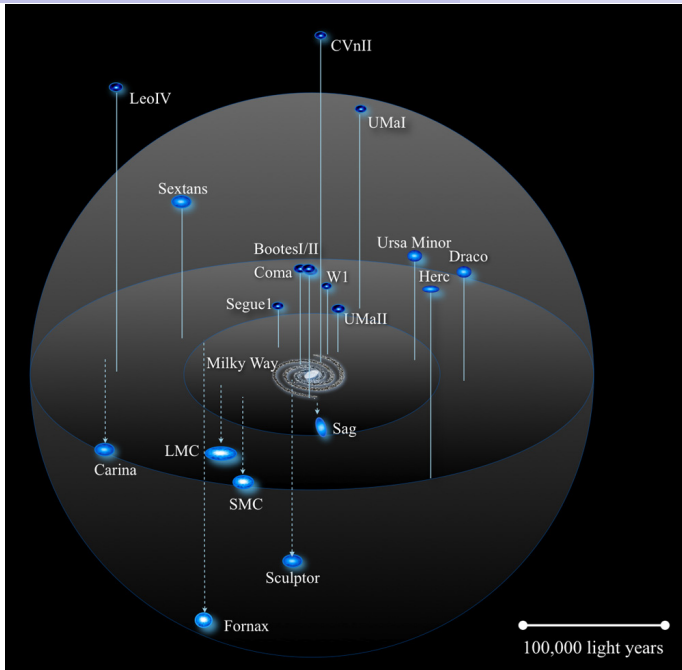
$$\Omega_\nu \equiv \frac{\sum \rho_{\nu_i}}{\rho_c} < 0.01$$

Dark matter:

$$\Omega_{\text{DM}} \equiv \frac{\rho_{\text{DM}}}{\rho_c} = 0.27$$

Dark energy:

$$\Omega_\Lambda \equiv \frac{\rho_\Lambda}{\rho_c} = 0.68$$





## Dark Matter Properties

$p = 0$

(If) particles:

- 1 stable on cosmological time-scale
- 2 nonrelativistic long before RD/MD-transition

$(z \simeq 3000, T = 0.8 \text{ eV})$

- 3 (almost) collisionless
- 4 (almost) electrically neutral

If were in thermal equilibrium:

$M_X \gtrsim 1 \text{ keV}$

If not:

for bosons

$\lambda = 2\pi/(M_X v_X), \text{ in a galaxy } v_X \sim 0.5 \cdot 10^{-3} \rightarrow M_X \gtrsim 3 \cdot 10^{-22} \text{ eV}$

for fermions

Pauli blocking:

$M_X \gtrsim 750 \text{ eV}$

$$f(\mathbf{p}, \mathbf{x}) = \frac{\rho_X(\mathbf{x})}{M_X} \cdot \frac{1}{\left(\sqrt{2\pi} M_X v_X\right)^3} \cdot e^{-\frac{p^2}{2M_X^2 v_X^2}} \Big|_{\mathbf{p}=0} \leq \frac{g_X}{(2\pi)^3}$$

# Present knowledge about the past: back to 2-3 MeV

## past stages

deceleration/acceleration

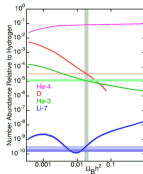
reionization

recombination

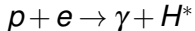
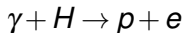
RD/MD equality

nucleosynthesis

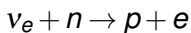
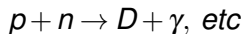
neutrino decoupling



$$\ddot{a} = 0$$



$$\rho_{\text{matter}} = \rho_{\text{radiation}}$$



## observables

SN Ia, CMB, clusters

CMB, quasars, stars

CMB, BAO

CMB, BAO

cold gas clouds

cold gas clouds

$$H^2 \propto \rho_\gamma + \rho_\nu$$

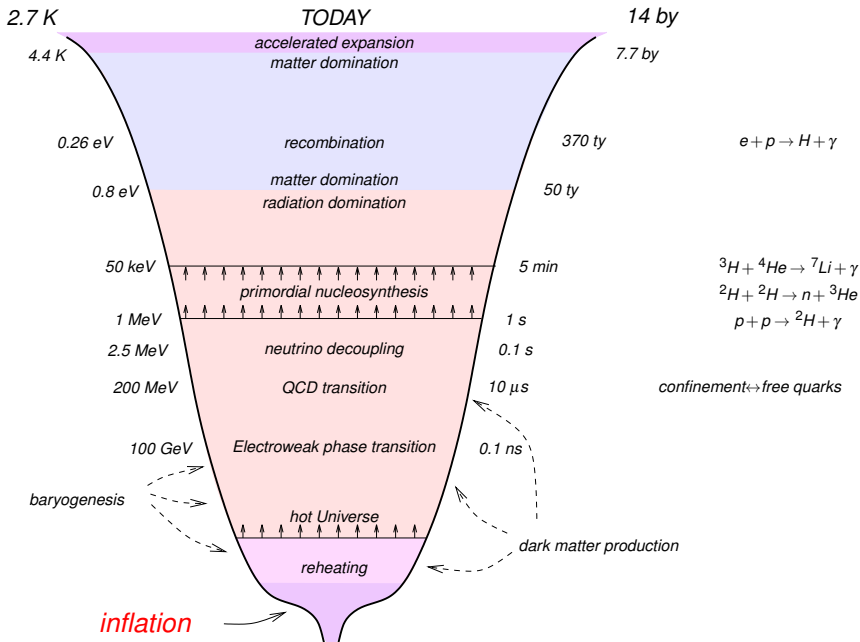


# New Physics in Cosmology: any energy scales...

Cosmology constrains the time-scale, rather than energy-scale

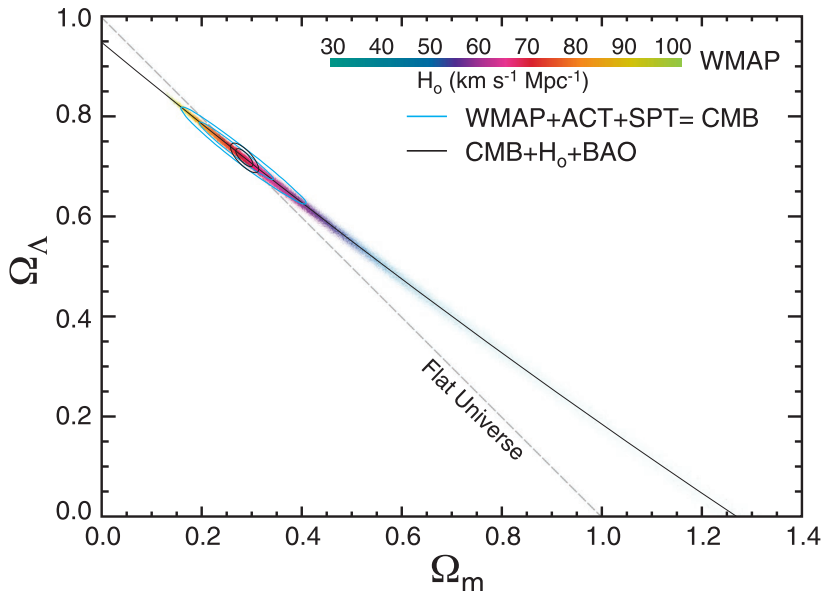
$$\Gamma \sim H \propto T^2/M_{\text{Pl}}$$

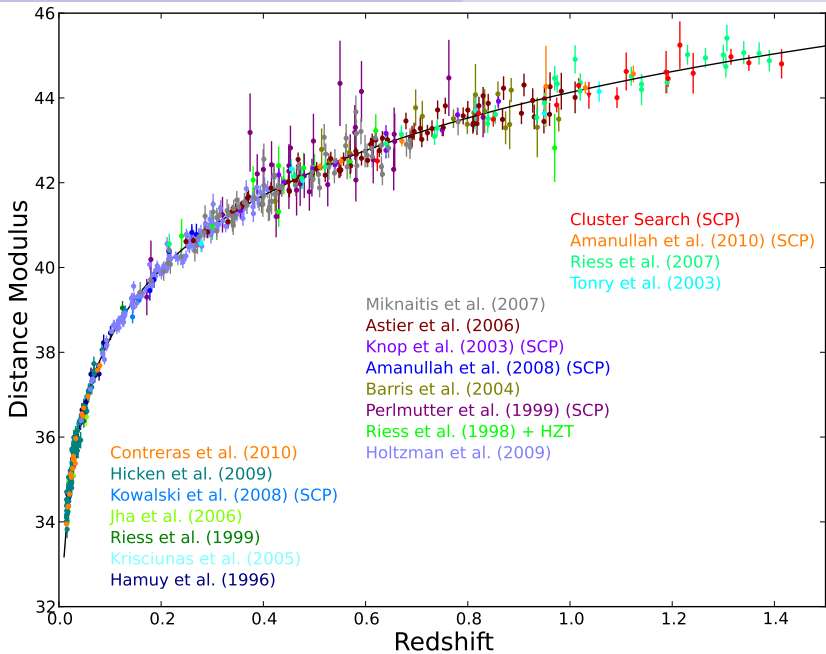
- Dark matter (if particles) be produced by  $T \gg 1 \text{ eV}$
- Dark energy be present by  $T \gg 5 \text{ K}$
- Baryon asymmetry be generated by  $T \gg 1 \text{ MeV}$



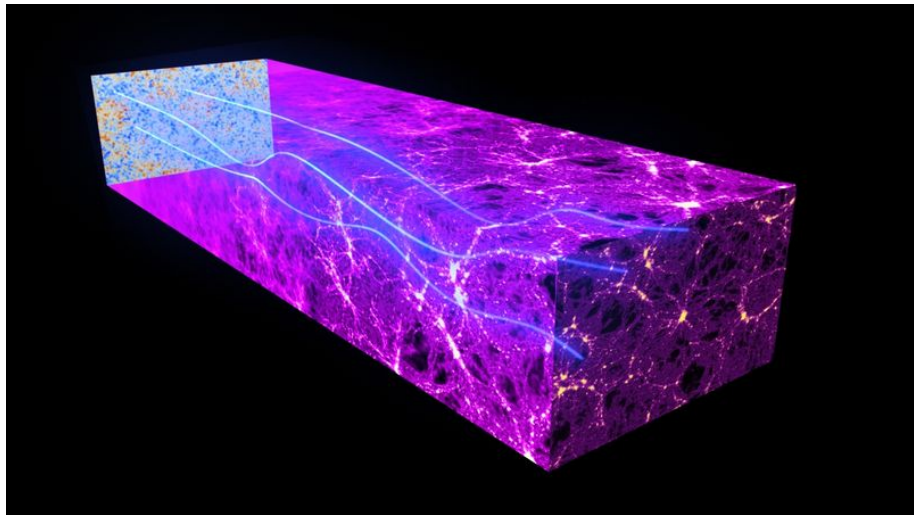
## Fit to cosmological data. . . 7 years ago

1212.5225





# Inhomogeneities from CMB & LSS: propagation in expanding Universe



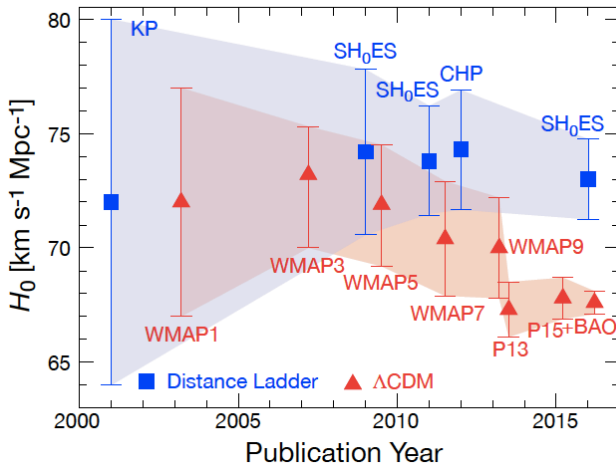


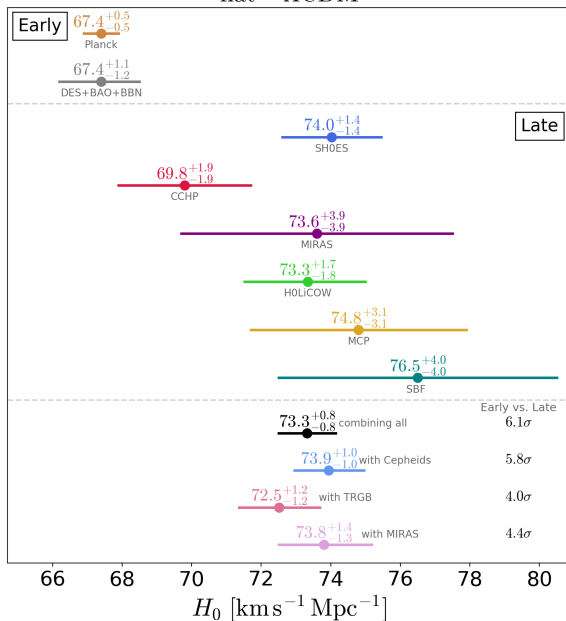
Figure 1: Recent values of  $H_0$  as a function of publication date since the Hubble Key

Project (adapted from Beaton et al. 2016). Symbols in blue represent values of  $H_0$

determined in the nearby universe with a calibration based on the Cepheid distance scale

1706.02739



flat -  $\Lambda$ CDM

1907.10625

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## FLRW metric for flat space

$$g_{\mu\nu}$$

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu = dt^2 - a^2(t) dl^2$$

$$H(t) = \frac{\dot{a}(t)}{a(t)}$$

coordinate distance:  $l = \int dl$

physical distance at the moment  $t$ :  $L(t) = a(t) \times l = a(t) \times \int dl$

# Photons in the expanding Universe

$$S = -\frac{1}{4} \int d^4x \sqrt{-g} g^{\mu\nu} g^{\lambda\rho} F_{\mu\lambda} F_{\nu\rho}$$

$$dt = a d\eta$$

conformally flat metric

$$ds^2 = dt^2 - a^2(t) \delta_{ij} dx^i dx^j \longrightarrow ds^2 = a^2(\eta) [d\eta^2 - \delta_{ij} dx^i dx^j]$$

$$S = -\frac{1}{4} \int d^4x \eta^{\mu\nu} \eta^{\lambda\rho} F_{\mu\lambda} F_{\nu\rho}, \quad A_\mu^{(\alpha)} = e_\mu^{(\alpha)} e^{ik\eta - i\mathbf{k}\mathbf{x}}, \quad k = |\mathbf{k}|$$

$$\Delta x = 2\pi/k, \quad \Delta \eta = 2\pi/k$$

$$\lambda(t) = a(t) \Delta x = 2\pi \frac{a(t)}{k}, \quad T = a(t) \Delta \eta = 2\pi \frac{a(t)}{k}$$

Redshift and the Hubble law  $\lambda_0 = \lambda_i \frac{a_0}{a(t_i)} \equiv \lambda_i(1 + z(t_i))$

$$\mathbf{p}(t) = \frac{\mathbf{k}}{a(t)}, \quad \omega(t) = \frac{k}{a(t)}$$

for not very distant objects

$$H_0 \equiv \dot{a}_0 / a_0$$

$$a(t_i) = a_0 - \dot{a}(t_0)(t_0 - t_i) = a_0[1 - H_0(t_0 - t_i)]$$

$$z(t_i) = H_0(t_0 - t_i) = H_0 r, \quad z \ll 1$$

similar reddening for other relativistic particles

$$\mathbf{p} = \frac{\mathbf{k}}{a(t)}$$

is true for massive particles as well

# Friedmann equation for the present Universe

$$H^2 \equiv \left( \frac{\dot{a}}{a} \right)^2 = \frac{8\pi}{3} G (\rho_M + \rho_{rad} + \rho_\Lambda)$$

$$\rho_c \equiv \frac{3}{8\pi G} H_0^2$$

$$\rho_c = \rho_{M,0} + \rho_{rad,0} + \rho_{\Lambda,0} = \rho_c = 0.5 \cdot 10^{-5} \frac{\text{GeV}}{\text{cm}^3},$$

$$\Omega_X \equiv \frac{\rho_{X,0}}{\rho_c}$$

$$\left( \frac{\dot{a}}{a} \right)^2 = \frac{8\pi}{3} G \rho_c \left[ \Omega_M \left( \frac{a_0}{a} \right)^3 + \Omega_{rad} \left( \frac{a_0}{a} \right)^4 + \Omega_\Lambda \right]$$

# Examples of realistic cosmological solutions

$$\left(\frac{\dot{a}}{a}\right)^2 = \frac{8\pi}{3}G\rho$$

dust:

$$\rho = 0$$

singular at  $t = t_s$ 

$$\rho = \frac{\text{const}}{a^3}, \quad a(t) = \text{const} \cdot (t - t_s)^{2/3}, \quad \rho(t) = \frac{\text{const}}{(t - t_s)^2}$$



$$t_s = 0, \quad H(t) = \frac{\dot{a}}{a}(t) = \frac{2}{3t}, \quad \rho = \frac{3}{8\pi G}H^2 = \frac{1}{6\pi G} \frac{1}{t^2}$$

# Cosmological (particle) horizon $l_H(t)$

distance covered by photons emitted at  $t = 0$

the size of causally-connected region —

the size of the visible part of the Universe

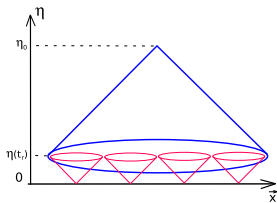
in conformal coordinates:

$$ds^2 = 0 \longrightarrow |dx| = d\eta$$

coordinate size of the horizon equals

$$\eta(t) = \int d\eta$$

$$l_H(t) = a(t)\eta(t) = a(t) \int_0^t \frac{dt'}{a(t')}$$



dust

horizon problem

$$l_H(t) = 3t = \frac{2}{H(t)}, \quad \text{while} \quad L_{phys} \propto a(t) \propto t^{2/3}$$



# Present size of the recombination horizon

matter domination:

$$l_{H,r} = 2H_r^{-1}$$

$$H_r^2 = \frac{8\pi}{3} G\rho_M(t_r) = \frac{8\pi}{3} G\rho_{M,0} \left( \frac{a_0}{a_r} \right)^3 = \frac{8\pi}{3} G\rho_c \Omega_{M,0} (1+z_r)^3.$$

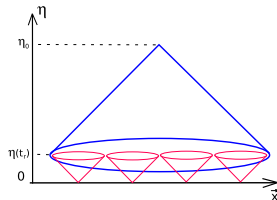
at recombination:

$$l_{H,r} = \frac{2}{H_0 \sqrt{\Omega_M}} \frac{1}{(1+z_r)^{3/2}}$$

today:

$$l_{H,r}(t_0) = l_{H,r} \times \frac{a_0}{a_r} = \frac{2}{H_0 \sqrt{\Omega_M}} \frac{1}{\sqrt{1+z_r}}$$

$$\frac{l_{H_0}}{l_{H,r}(t_0)} \sim \sqrt{1+z_r} \simeq 30$$



# Examples of realistic cosmological solutions

radiation:

$$\rho = \frac{1}{3}\rho$$

singular at  $t = t_s$ 

$$\rho = \frac{\text{const}}{a^4}, \quad a(t) = \text{const} \cdot (t - t_s)^{1/2}, \quad \rho(t) = \frac{\text{const}}{(t - t_s)^2}$$



$$t_s = 0, \quad H(t) = \frac{\dot{a}}{a}(t) = \frac{1}{2t}, \quad \rho = \frac{3}{8\pi G} H^2 = \frac{3}{32\pi G} \frac{1}{t^2}$$

$$l_H(t) = a(t) \int_0^t \frac{dt'}{a(t')} = 2t = \frac{1}{H(t)}.$$

If thermal equilibrium

$$T = \text{const}/a$$

$$\rho = \frac{\pi^2}{30} g_* T^4$$

# Entropy conservation: adiabatic expansion

$$\nabla_{\mu} T^{\mu 0} = 0 \longrightarrow \dot{\rho} + 3\frac{\dot{a}}{a}(\rho + p) = 0$$

the equation of state

$$p = p(\rho)$$

many-component fluid,  
in case of thermal equilibrium

other equations

$$-3d(\ln a) = \frac{d\rho}{\rho + p} = d(\ln s)$$

entropy of cosmic primordial plasma is conserved in a comoving frame

$$sa^3 = \text{const} \quad \text{entropy problem}$$

# Flatness problem

- Take non-flat 3-dim manifold (general case)
- Curvature contribution to the total energy density behaves as  
 $\rho_{curv}(t) \propto 1/a^2(t)$
- Then at present:

$$0.01 > \Omega_{curv} = \frac{\rho_{curv}(t_0)}{\rho_c} \sim 10^{-4} \times \frac{\rho_{curv}(t_0)}{\rho_{rad}(t_0)} = 10^{-4} \times \frac{a^2(t_0)}{a^2(t_*)} \frac{\rho_{curv}(t_*)}{\rho_{rad}(t_*)}$$

$$\sim 10^{-4} \times \frac{T_*^2}{T_0^2} \frac{\rho_{curv}(T_*)}{\rho_{tot}(T_*)}$$

- For hypothetical Planck epoch  $T_* \sim M_{Pl} \sim 10^{19}$  GeV one gets

$$0.01 > \Omega_{curv} \sim 10^{60} \times \frac{\rho_{curv}(M_{Pl})}{\rho_{tot}(M_{Pl})}$$

$$R_{curv}/R_{Plankc} > 10^{31} \quad \text{enormously huge original manifold !!}$$

## Initial condition problems:

- horizon
- entropy
- curvature
- singularity...
- heavy relics...
- ...

# Examples of realistic cosmological solutions

vacuum:

$$T_{\mu\nu} = \rho_{vac}\eta_{\mu\nu}$$

$$p = -\rho$$

$$S_{\Lambda} = -\Lambda \int \sqrt{-g} d^4x .$$

$$a = \text{const} \cdot e^{H_{ds}t}, \quad H_{ds} = \sqrt{\frac{8\pi}{3} G\rho_{vac}}$$

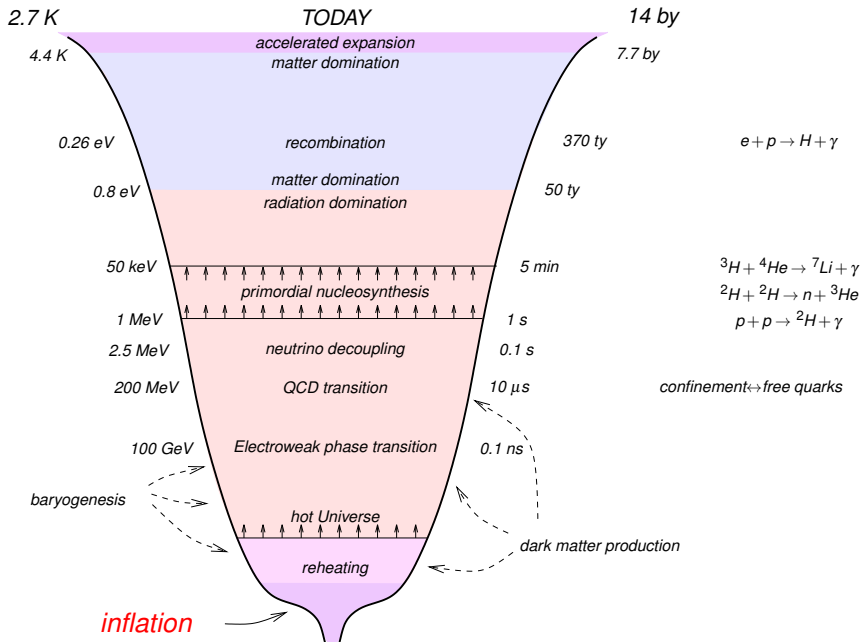
de Sitter space: space-time of constant curvature

$$ds^2 = dt^2 - e^{2H_{ds}t} d\mathbf{x}^2$$

$\ddot{a} > 0,$

no initial singularity

no cosmological horizon:  $l_H(t) = e^{H_{ds}t} \int_{-\infty}^t dt' e^{-H_{ds}t'} = \infty$

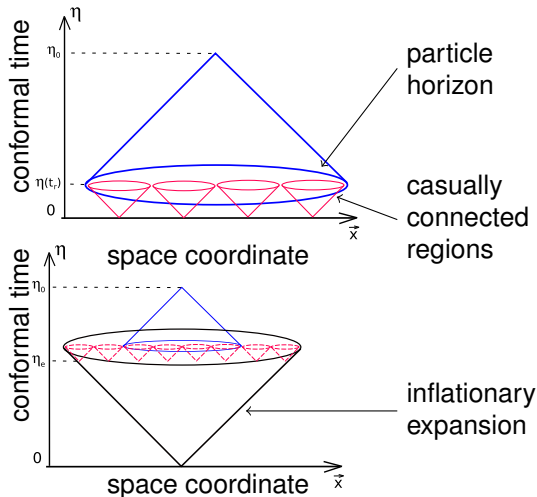


- 1 General facts, key observables and  $\Lambda$ CDM model
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# Inflationary solution of Hot Big Bang problems

- no initial singularity in dS space
- all scales grow exponentially, including the radius of the 3-sphere  
the Universe becomes exponentially flat
- any two particles are at exponentially large distances  
no heavy relics  
no traces of previous epochs!
- no particles in post-inflationary Universe  
to solve entropy problem we need post-inflationary reheating



# Inflation: general remarks

- Simplest variant

$$H^2 = \frac{8\pi}{3M_{Pl}^2} \rho_\Lambda = \text{const}, \Rightarrow a(t) \propto e^{Ht}$$

is not suitable: inflation must not last for ever!

- Universe has to reheat after!  $T_{reh}$

$$\rho_e \gtrsim (3\text{MeV})^4, \text{ and better } \rho_e \gtrsim (100\text{GeV})^4,$$

- How long? Horizon problem:  
present size of the horizon at the end of inflation

$$l_{H,e}(t_0) = a_0 \int_{t_{Pl}}^{t_e} \frac{dt}{a(t)} = a_0 \int_{t_{Pl}}^{t_e} \frac{da}{a^2} \frac{1}{H} \sim \frac{a_0}{a(t_{Pl})} \cdot \frac{1}{H(t_{Pl})}$$

Solution to the horizon problem:

$$1 \lesssim \frac{l_{H,e}(t_0)}{l_{H,0}} \sim \frac{a_0}{a(t_{Pl})} \frac{H_0}{H(t_{Pl})} = \frac{a_0}{a(t_{reh})} \frac{a_{reh}}{a(t_e)} \frac{a(t_e)}{a(t_{Pl})} \cdot \frac{H_0}{H(t_{Pl})}$$

Introducing the number of e-foldings

$$N_e^{tot} = \ln \frac{a(t_e)}{a(t_{Pl})}, \quad N_e^{tot} = \int_{t_{Pl}}^{t_e} dt H(t) \sim H_e \cdot \Delta t_{infl}$$

For relativistic particles  $\rho \propto T^4 \propto 1/a^4 \Rightarrow a_0/a(t_{reh}) \sim T_{reh}/T_0$

# Inflation: general remarks

- How long? Solution to the horizon problem:

$$1 \lesssim \frac{l_{H,e}(t_0)}{l_{H,0}} \Rightarrow N_e^{tot} \gtrsim \log \frac{T_0}{H_0} + \ln \frac{a(t_e)}{a_{reh}} + \ln \frac{H(t_{Pl})}{T_{reh}} \simeq 50 - 60$$

Inflation lasts not less than (accepting  $H^2 \sim \rho / M_{Pl}^2$ )

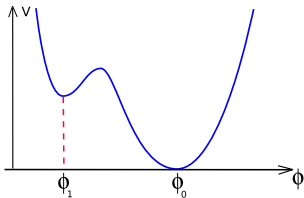
$$\Delta t_{infl} \sim N_e^{tot} / H_e \sim 10^{-11} \text{ c} \cdot \left( \frac{1 \text{ TeV}}{T_{reh}} \right)^2$$

we must reheat the Universe then!

- In realistic models  $N_e^{tot} \gg \gg 100$  !!!  
Inflatary stage may be short, but expansion is enormous!

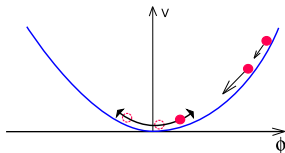
# Inflatory stage: simplest models

## “Old inflation” by Guth



does not work in fact!  
 starts from a hot stage  
 and ends up in a false vacuum  
 reheating due to percollations  
 However: for sufficiently long  
 inflationary stage requires  
 $\Gamma < H_{infl}^4$   
 hence the bubbles never  
 collide!

## “Chaotic inflation”



needs superplanckian field  
 values!

$$\rho = \frac{1}{2} \dot{\phi}^2 + V(\phi)$$

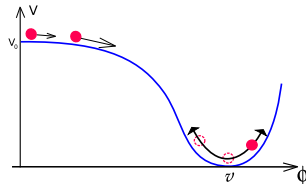
$$p = \frac{1}{2} \dot{\phi}^2 - V(\phi)$$

$$\ddot{\phi} + 3H\dot{\phi} + V'(\phi) = 0$$

$$\epsilon = \frac{M_{Pl}^2}{16\pi} \left( \frac{V'}{V} \right)^2, \quad \eta = \frac{M_{Pl}^2}{8\pi} \frac{V''}{V},$$

$$V(\phi) \propto \phi^n \Rightarrow \epsilon, \eta \sim M_{Pl}^2/\phi^2 \ll 1 \quad \leftarrow \text{slow-roll conditions}$$

## “New inflation”



Initial condition is very specific!

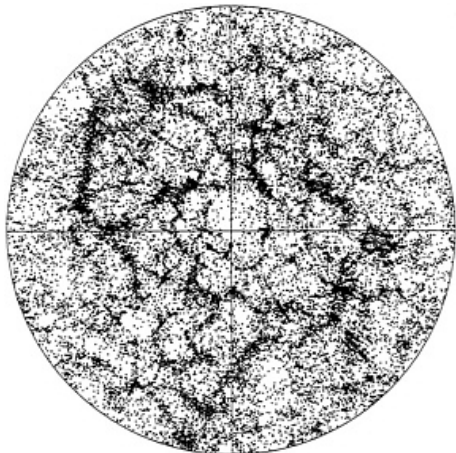
$$H^2 = \frac{8\pi}{3M_{Pl}^2} V(\phi), \quad a(t) \propto e^{Ht}$$

and we require

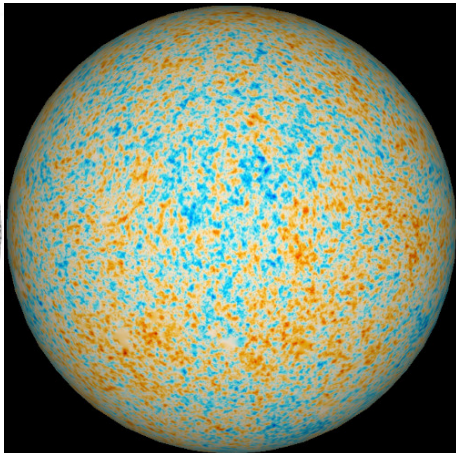
$$V(\phi) < M_{Pl}^4$$

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# Inhomogeneous Universe



Large Scale Structure



CMB anisotropy

# Small inhomogeneities in the expanding Universe

**matter perturbations** (perfect fluid approximation)

$$T_0^0 \rightarrow \rho(t) + \delta\rho(\eta, \mathbf{x}), \quad T_i^0 \rightarrow \partial_i v(\eta, \mathbf{x}), \quad T_j^i \rightarrow \delta p(\eta, \mathbf{x})$$

**gravitational perturbations** (scalar and tensor modes)

$$ds^2 = a^2(\eta) \left[ (1 + 2\Phi(\eta, \mathbf{x})) d\eta^2 - (1 + 2\Psi(\eta, \mathbf{x})) d\mathbf{x}^2 - h_{ij}^{TT}(\eta, \mathbf{x}) dx^i dx^j \right]$$

**Equations for linear perturbations**,  $\delta\rho/\rho \equiv \delta \ll 1$ ,  $\Phi \ll 1$ , etc

$$R_{\mu\nu} + \frac{1}{2} R g_{\mu\nu} = 8\pi G T_{\mu\nu} \rightarrow \dots$$

$$\nabla_\mu T^{\mu\nu} = 0 \rightarrow \dots$$

# These inhomogeneities (matter perturbations)

originate from the initial matter density (scalar) perturbations

$$\delta\rho/\rho \sim \delta T/T \sim 10^{-4}, \text{ which are}$$

adiabatic

$$\delta\left(\frac{n_B}{s}\right) = \delta\left(\frac{n_{DM}}{s}\right) = \delta\left(\frac{n_L}{s}\right)$$

Gaussian

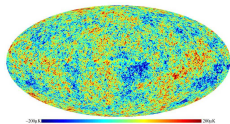
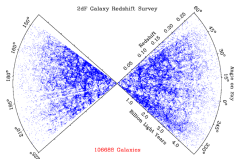
$$\langle \frac{\delta\rho}{\rho}(\mathbf{k}) \frac{\delta\rho}{\rho}(\mathbf{k}') \rangle \propto \left( \frac{\delta\rho}{\rho}(\mathbf{k}) \right)^2 \times \delta(\mathbf{k} + \mathbf{k}')$$

flat spectrum

$$\langle \left( \frac{\delta\rho}{\rho}(\mathbf{x}) \right)^2 \rangle = \int_0^\infty \frac{d\mathbf{k}}{k} \mathcal{P}_S(\mathbf{k}) \quad \mathcal{P}_S(\mathbf{k}) \approx \text{const}$$

LSS and CMB

$$\mathcal{P}_S \equiv A_S \times \left( \frac{k}{k_*} \right)^{n_S - 1} \quad A_S \approx 2.5 \times 10^{-9}, \quad n_S \approx 0.97$$





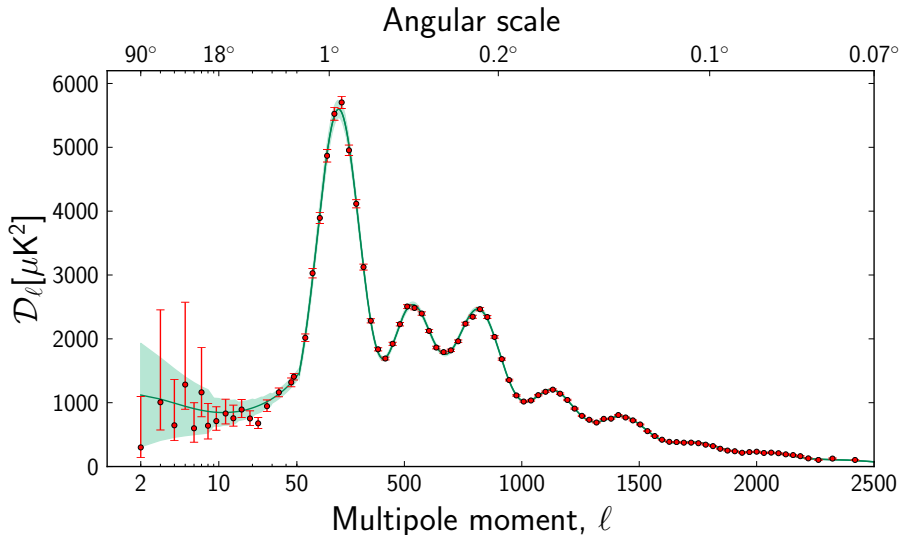
# Mode evolution

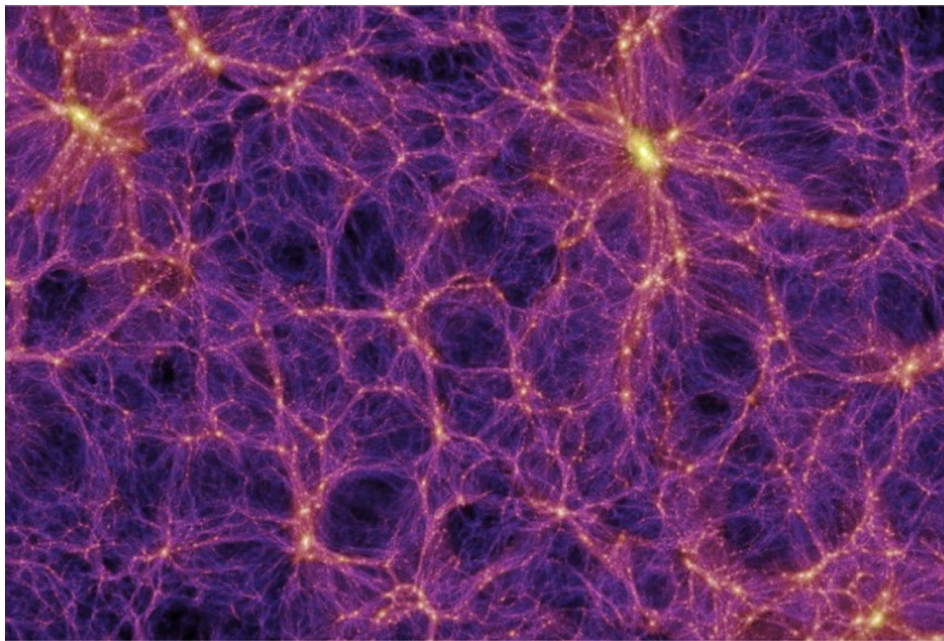
- Amplitude remains constant, while superhorizon, e.g.  $k/a < H$
- Subhorizon Inhomogeneities of DM start to grow at MD-stage,  $\delta\rho_{CDM}/\rho_{CDM} \propto a$  from  $T \approx 0.8 \text{ eV}$   
Smaller objects (first stars, dwarf galaxies) are first to form
- Subhorizon Inhomogeneities of baryons join those of DM only after recombination,  $\delta\rho_{CDM}/\rho_{CDM} \propto a$  from  $T_{rec} \approx 0.25 \text{ eV}$
- at recombination  $\delta\rho_B/\rho_B \sim \delta T/T \sim 10^{-4}$  and would grow only by a factor  $T_{rec}/T_0 \sim 10^3$  without DM
- Subhorizon Inhomogeneities of photons  $\delta\rho_\gamma/\rho_\gamma$  oscillate with constant amplitude at RD and with decreasing amplitude at MD, thus we can measure  $T_{RD/MD}/T_{rec}$
- Phase of oscillations decoupled after recombination depends on the wave-length, recombination time and sound speed

$$\delta\rho_\gamma/\rho_\gamma \propto \cos\left(k \int_0^{t_r} \frac{v_s dt}{a(t)}\right) = \cos(kl_{sound})$$



$$\delta T(\theta, \varphi) = \sum a_{lm} Y_{lm}(\theta, \varphi), \quad \langle a_{lm}^* a_{lm} \rangle = C_l \equiv 2\pi \mathcal{D}_l / (l(l+1))$$

CMB measurements (Planck)  $H_0, \Omega_{DM}, \Omega_B, \Omega_\Lambda, \Delta_{\mathcal{R}}, n_s$ 



# Unexpected bonus: generation of perturbations

- Quantum fluctuations of wavelength  $\lambda$  of a free massless field  $\varphi$  have an amplitude of  $\delta\varphi_\lambda \simeq 1/\lambda$
- In the expanding Universe:  $\lambda \propto a$

**inflation:**  $l_H \sim 1/H = \text{const}$ , so modes "exit horizon"

**Ordinary stage:**  $l_H \sim 1/H \propto t$ ,  $l_H/\lambda \nearrow$ , modes "enter horizon"

## Evolution at inflation

- inside horizon:**  $\lambda < l_H$

$$\lambda \propto a \Rightarrow$$

$$\delta\varphi_\lambda \propto 1/\lambda \propto 1/a$$



- outside horizon:**  $\lambda > l_H$

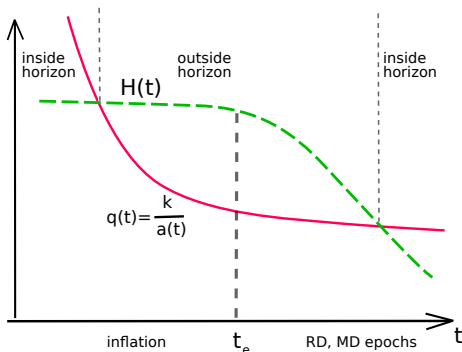
$$\lambda \propto a \Rightarrow$$

$$\delta\varphi_\lambda = \text{const} = H_{\text{infl}} !!!$$



- got "classical" fluctuations:

$$\delta\varphi_\lambda = \delta\varphi_\lambda^{\text{quantum}} \times e^{N_e}$$



# Power spectrum of perturbations

In the Minkowski space-time:

- **fluctuations** of a free quantum field  $\varphi$  are **gaussian** its power spectrum is **defined** as

$$\int_0^\infty \frac{dq}{q} \mathcal{P}_\varphi(q) \equiv \langle \varphi^2(\mathbf{x}) \rangle = \int_0^\infty \frac{dq}{q} \frac{q^2}{(2\pi)^2}$$

We define amplitude as  $\delta\varphi(q) \equiv \sqrt{\mathcal{P}_\varphi} = q/(2\pi)$

- In the expanding Universe momenta  $q = k/a$  gets redshifted
- Cast the solution in terms  $\phi(\mathbf{x}, t) = \phi_c(t) + \varphi(\mathbf{x}, t)$ ,  $\varphi(\mathbf{x}, t) \propto e^{\pm i\mathbf{k}\mathbf{x}} \varphi(\mathbf{k}, t)$   
 $\varphi$  solves the equation

$$\ddot{\varphi} + 3H\dot{\varphi} + \frac{k^2}{a^2} \varphi + V''(\phi_c)\varphi = 0$$

- $q = k/a \gg H \Rightarrow$  as in Minkowski space-time
- $q = k/a \ll H \Rightarrow$  for inflaton  $\varphi = \text{const}$
- Matching at  $t_k$ :  $q(t_k) = k/a(t_k) = H(t_k) \equiv H_k$  gives

$$\delta\varphi(q) = \frac{H_k}{2\pi} \Rightarrow \mathcal{P}_\varphi(q) = \frac{H_k^2}{(2\pi)^2}$$

amplification  $H_k/q = e^{Ne(k)}$  !!!

$H_k \approx \text{const} = H_{\text{infl}}$  hence (almost) flat spectrum

# Transfer to matter perturbations: simple models

Illustration: Local delay(advance) $\delta t$  in evolution due to impact of  $\delta\phi$  of all modes with  $\lambda > H$ :

$$\delta\phi = \dot{\phi}_c \delta t, \quad \delta\rho \sim \dot{\rho} \delta t$$

at the end of inflation  $\dot{\rho} \sim -H\rho$ , then

$$\frac{\delta\rho}{\rho} \sim \frac{H}{\dot{\phi}_c} \delta\phi$$

Hence,  $\delta\rho/\rho$  is also gaussian.

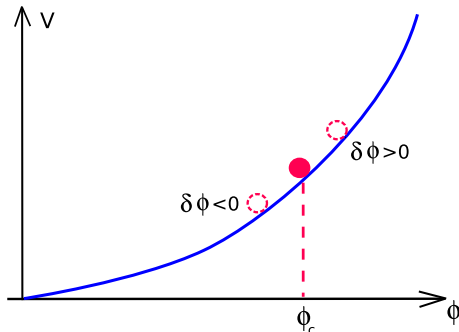
Power spectrum of scalar perturbations

$$\mathcal{P}_{\mathcal{R}}(k) = \left( \frac{H^2}{2\pi\dot{\phi}_c} \right)^2,$$

everything is calculated at  $t = t_k : H = k/a$

To the leading order no  $k$ -dependence: both spectra are “flat”

(scale-invariant)!



Analogously for the tensor perturbations: each of the two polarizations of the gravity waves solves the free scalar field equation!

$$\mathcal{P}_T(k) = \frac{16}{\pi} \frac{H_k^2}{M_{Pl}^2}$$

# Inflaton parameters and spectral parameters

- Observation of CMB anisotropy gives  $\delta T/T$

$$\frac{\delta T}{T} \sim \frac{\delta \rho}{\rho} \Rightarrow \Delta_{\mathcal{R}} \equiv \sqrt{\mathcal{P}_{\mathcal{R}}} = 5 \times 10^{-5}$$

- These are so-called adiabatic perturbations!  
Other possible (isocurvature) modes (e.g.  $\delta T = 0$ , but  $\delta n_B/n_B \neq 0$ ) are not found.
- $\Delta_{\mathcal{R}} = 5 \times 10^{-5} \Rightarrow$  fixes model parameters, e.g.:

$$V(\phi) = \frac{\beta}{4} \phi^4 \rightarrow \lambda \sim 10^{-13}$$

With such a tiny coupling perturbations are obviously gaussian  
So far confirmed by observations

# Inflation & Reheating: simple realization with Higgs

$$\ddot{X} + 3H\dot{X} + V'(X) = 0$$

$$X_e > M_{Pl}$$

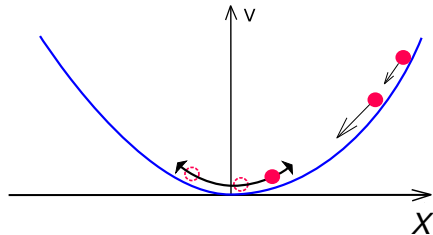
generation of scale-invariant scalar (and tensor) perturbations from exponentially stretched quantum fluctuations of  $X$

$$\delta\rho/\rho \sim 10^{-5} \text{ requires}$$

$$V = \beta X^4 : \beta \sim 10^{-13}$$

reheating ? renormalizable?

the only choice:  $\alpha H^\dagger H X^2$   
“Higgs portal”



Chaotic inflation, A.Linde (1983)

larger  $\alpha$

larger  $T_{reh}$

quantum corrections  $\propto \alpha^2 \lesssim \beta$



# Inflaton parameters and spectral parameters

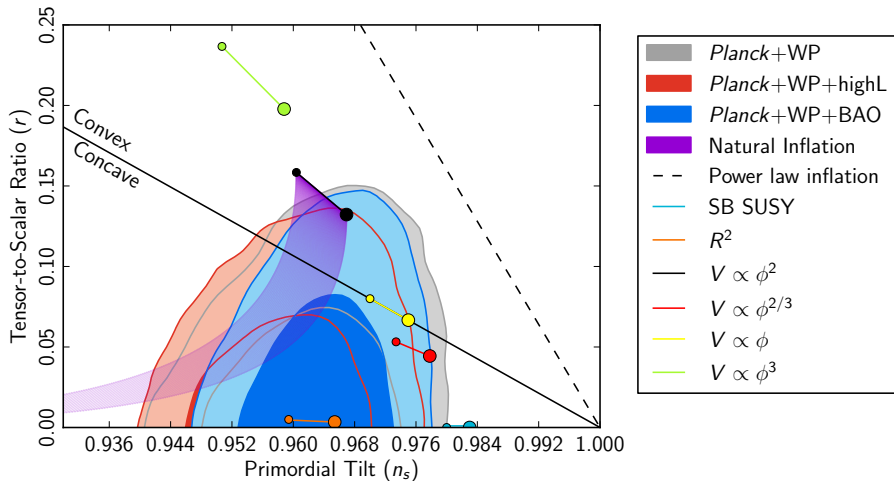
- In fact, spectra are a bit tilted, as  $H_{infl}$  slightly evolves

$$\mathcal{P}_{\mathcal{R}}(k) = A_{\mathcal{R}} \left( \frac{k}{k_*} \right)^{n_s - 1}, \quad \mathcal{P}_T(k) = A_T \left( \frac{k}{k_*} \right)^{n_T}.$$

- Measure  $\Delta_{\mathcal{R}}$  at present scales  $q \simeq 0.002/\text{Mpc}$ , it fixes the number of e-foldings left  $N_e$
- For tensor perturbations one introduces:

$$r \equiv \frac{\mathcal{P}_T}{\mathcal{P}_{\mathcal{R}}} = \frac{1}{\pi} \frac{M_{Pl}^2 V'^2}{V} = 16\epsilon \rightarrow \frac{16}{N_e} \text{ for } \beta\phi^4$$

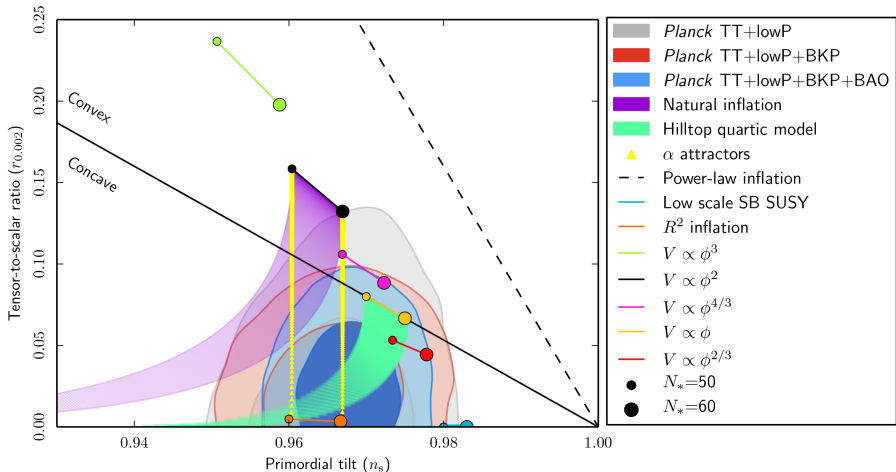
## Planck analysis of cosmological data (2013)



1303.5062

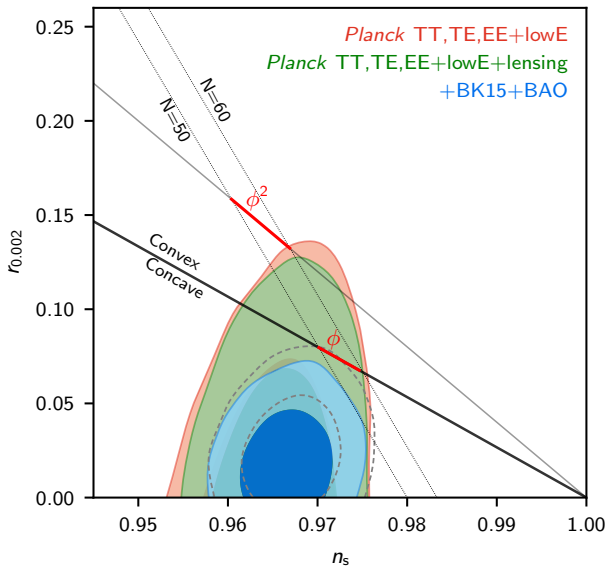
 $N_e = 50 - 60$

# Planck (2015)

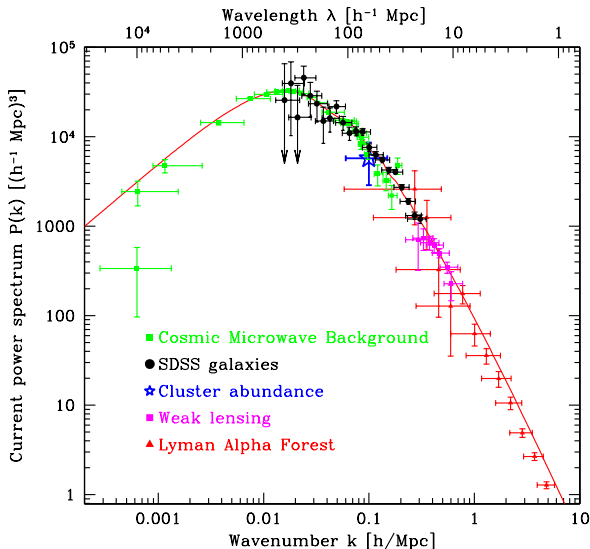


$$r = \frac{A_T}{A_S} \propto \frac{\dot{\phi}^2}{H^2 M_{Pl}^2} \propto \left( \frac{V'}{V} \right)^2 \ll 1$$

## Planck analysis of cosmological data (2018)



# Actually we observe rather narrow range



Observable range:

$$\frac{k_{max}}{k_{min}} \sim 10^5$$

$$\Delta N_e \simeq 10$$

Small scales cannot describe:  
for a long time in nonlinear regime

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# Reheating

After inflation we must produce particles  
to enter the radiation dominating stage  
i.e. we must reheat the Universe

inflaton couples to SM

- perturbative... e.g. decays:

$$\phi \rightarrow hh, \text{ reheating at } H = \Gamma$$

- through oscillations induced by inflaton

time-dependent external force  $F(t)$  or mass  $m(t)$

- can be resonantly amplified !!
- most efficient:

tachionic, when  $m^2(t) < 0$

# Particle production I

An elementary particle:

$$E = mc^2 \longrightarrow E^2 = k^2 c^2 + m^2 c^4$$

equation of motion

$$\ddot{\phi}(t, \mathbf{x}) - \Delta \phi(t, \mathbf{x}) + m^2 \phi(t, \mathbf{x}) = 0 \quad \phi \propto e^{iEt + i\mathbf{k}\mathbf{x}}$$

for particular 3-momenta looks as oscillator

$$\ddot{\phi}_k(t) + (\mathbf{k}^2 + m^2) \phi_k(t) = 0 \quad \phi(t, \mathbf{x}) = \int d^3x \phi_k(t) e^{i\mathbf{k}\mathbf{x}}$$

Quantum physics:

even in vacuum (no particles)

$$\phi_k = \phi_k^{vac}(t) \neq 0 \quad !!$$



# Particle production II

## In the expanding Universe

$$\ddot{\phi}_k(t) + 3H(t)\dot{\phi}_k(t) + \left( \frac{\mathbf{k}^2}{a^2(t)} + m^2 \right) \phi_k(t) = 0$$

interaction with inflaton  $X(t)$ , e.g.  $X^2\phi^2$ :

$$\ddot{\phi}_k(t) + 3H(t)\dot{\phi}_k(t) + \left( \frac{\mathbf{k}^2}{a^2(t)} + m^2 + X^2(t) \right) \phi_k(t) = 0$$

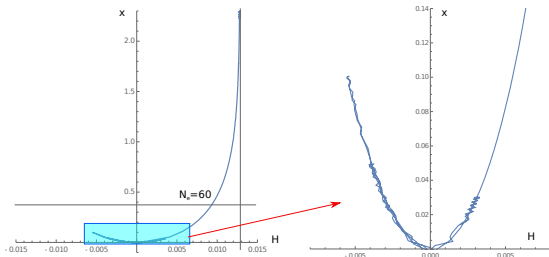
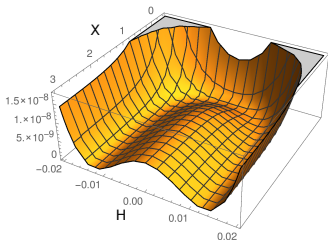
**oscillator with time-dependent frequency** can be excited if  
 —  $\Omega_X \gg \Omega_{\phi_k}$  high-frequency (this case)

**among other generic options**

- at zero crossings, that is  $\Omega_X^{eff} \simeq 0$  large field  $X$
- at tachyonic time slots with  $\Omega_X^{eff2} < 0$

# Higgs & Scalaron

D.G., A.Tokareva 1807.02392



Scalar perturbations:

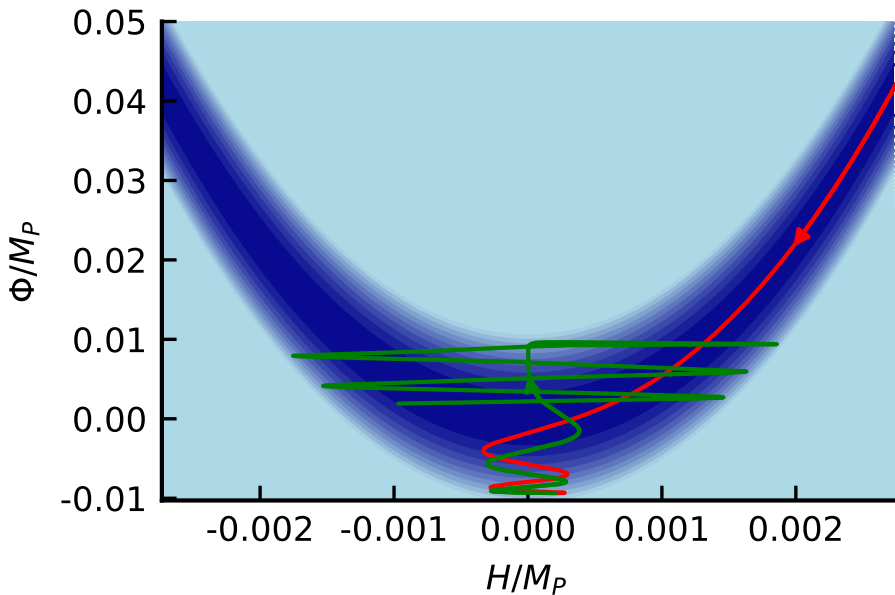
1701.07665

$$\beta + \frac{\xi^2}{\lambda} \simeq 2 \times 10^9$$

At small  $\beta$  like in the Higgs-inflation

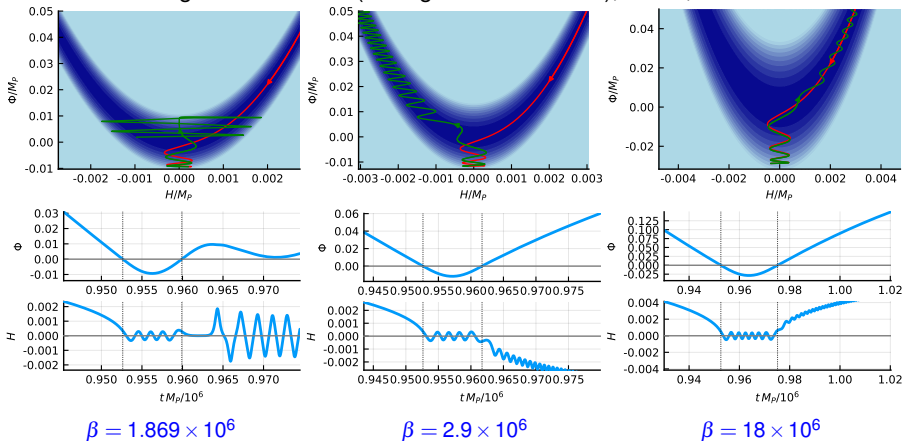
heavy scalaron is integrated out

$$\frac{\xi^2}{4\pi} < \beta < \frac{\xi^2}{\lambda} \rightarrow 5 \times 10^{13} \text{ GeV} < m < 1.5 \times 10^{15} \text{ GeV}$$



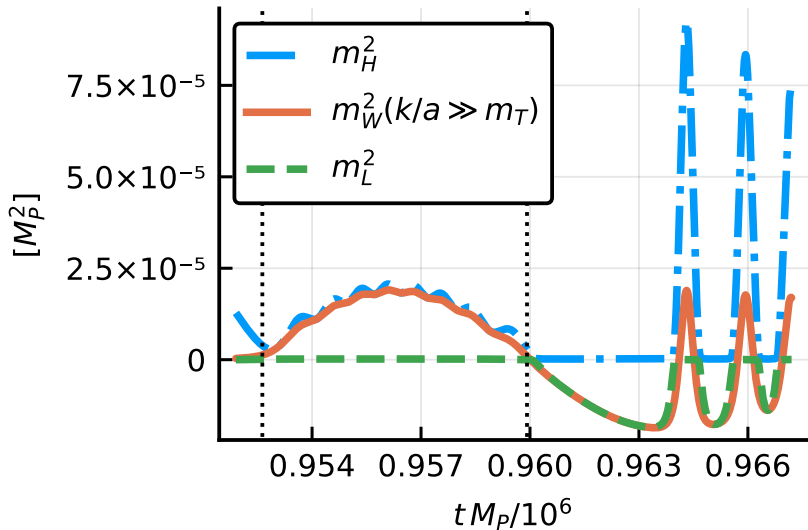
# Scalaron $\Phi$ and Higgs $H$ evolution after inflation

Homogeneous modes (mixing in kinetic sector),  $\dot{\Phi} < 0$ ,  $\dot{\Phi} > 0$

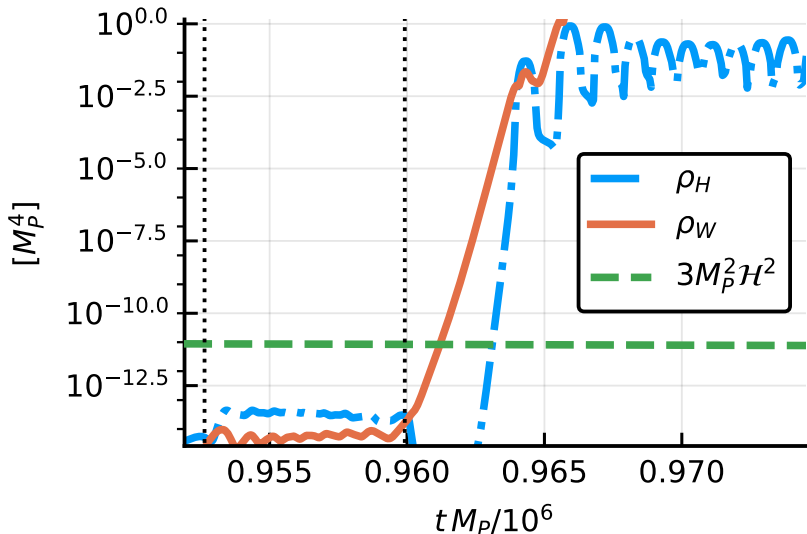


$$V(H, \Phi) = \frac{1}{4} \left( \lambda + \frac{\xi^2}{\beta} \right) H^4 + \frac{M_P^2}{6\beta} \Phi^2 - \frac{\xi M_P}{\sqrt{6}\beta} \Phi H^2 + \frac{7}{108\beta} \Phi^4 + \frac{\xi}{6\beta} \Phi^2 H^2 - \frac{M_P}{3\sqrt{6}\beta} \Phi^3$$

## Numerical results: mass squared



## Numerical results: energy in perturbations



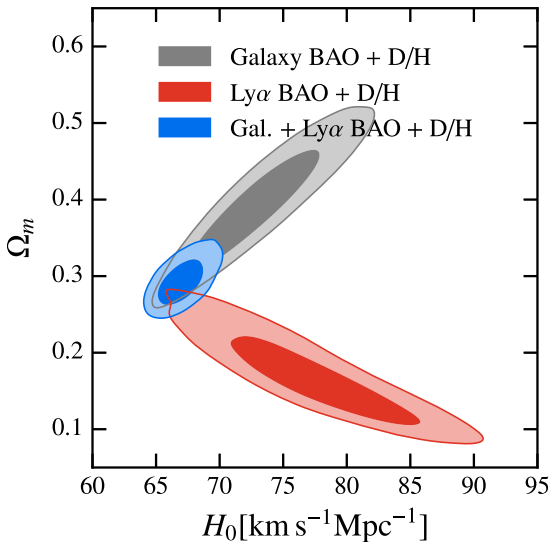
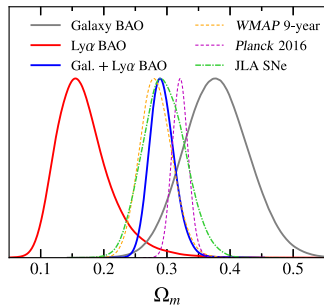
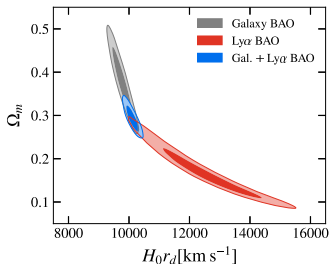


# Backup slides



# Impact of BAO: Galaxies vs Ly- $\alpha$

1707.06547



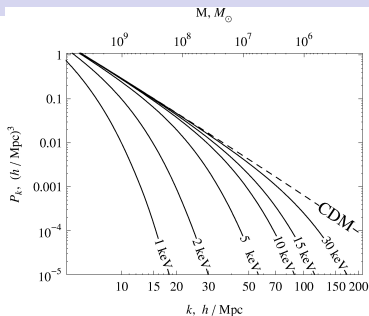
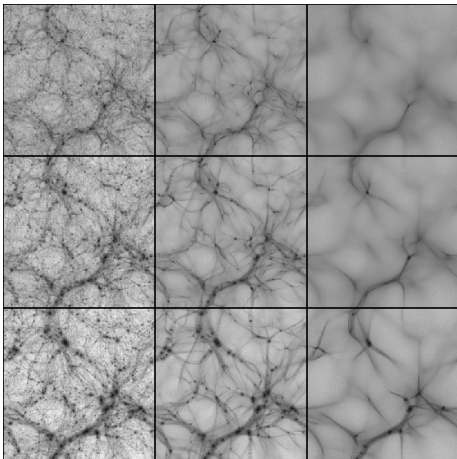
# Missing satellites: free streaming or selfinteraction?

Missing satellites:

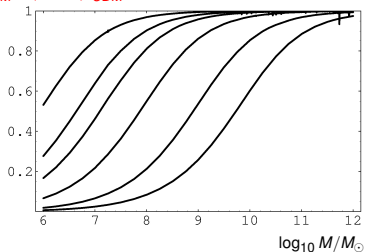
$$\frac{dN_{obj}}{d \ln M} \propto \frac{1}{M}$$

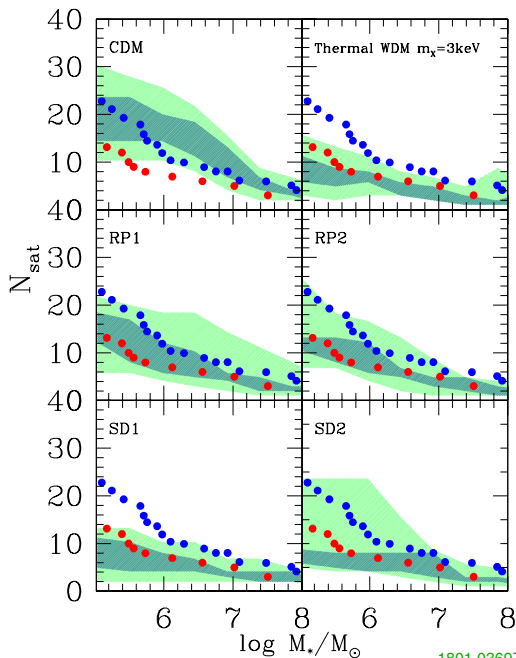
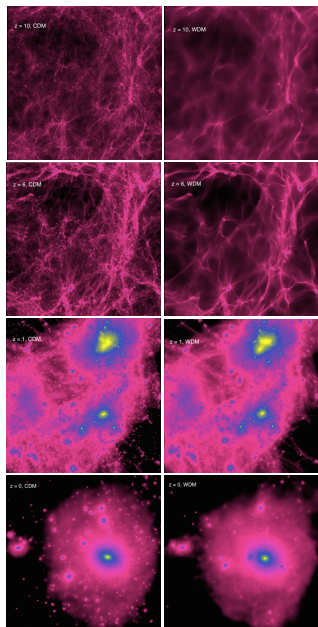
no-scale

100 instead of 1000

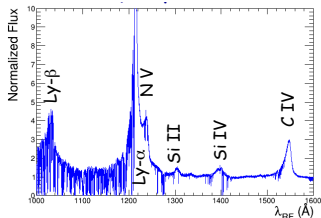


$$\left( \frac{dN_{obj}}{d \ln M} \right)_{WDM} / \left( \frac{dN_{obj}}{d \ln M} \right)_{CDM}$$

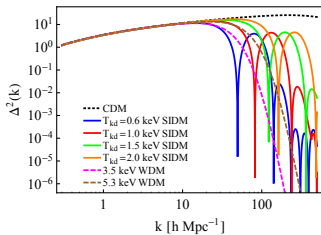




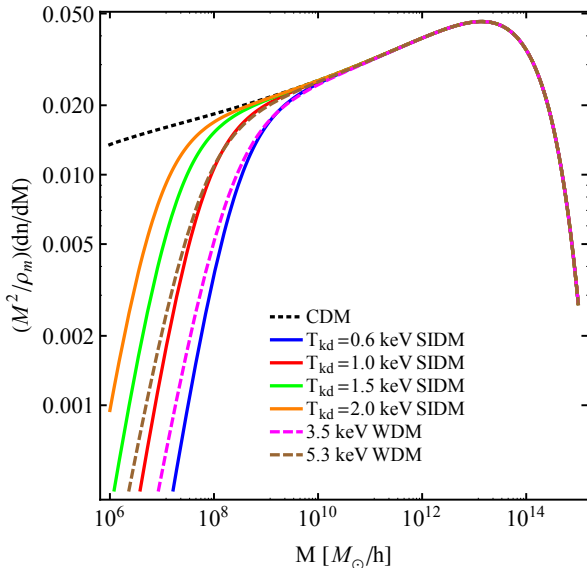
# Missing satellites: dwarfs vs Ly- $\alpha$



1702.03314

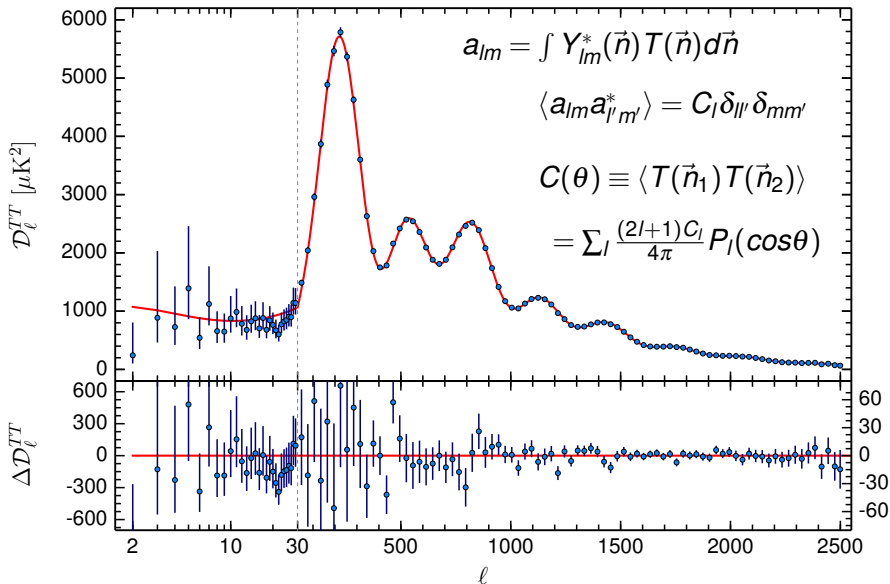


1709.09717

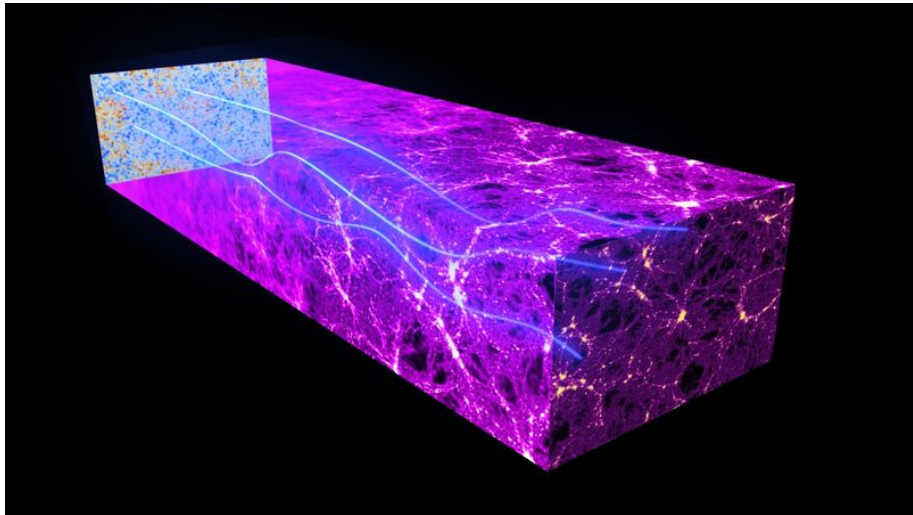


# CMB anisotropy spectrum by Planck

1502.01582



# Initial or Induced: propagation in expanding Universe



# Cold spot (Planck)

1502.01582

